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Mark P. Kesslen, Esq.
The Chase Manhattan Bank
1 Chase Manhattan Plaza
25th Floor
New York, NY 10081



February 7, 2000

Re: OFGS File: P/2167-158
New Patent Application
Inventor: Albert Kwang-Hwa SUN, et al.
SYSTEM AND METHOD FOR INTEGRATING
TRADING OPERATIONS INCLUDING THE
GENERATION, PROCESSING AND TRACKING
OF AND TRADE DOCUMENTS

Dear Mark:

Enclosed a copy of the complete patent application papers which were forwarded to the U.S. Patent and Trademark Office on January 31, 2000.

Please also find enclosed a copy of a Declaration and Power of Attorney in connection with the above-identified application. Kindly have the inventors execute the enclosed Declaration and Power of Attorney and return the same to me for filing in the United States Patent and Trademark Office at your earliest convenience.

Applicants and their attorneys are under a duty to disclose to the Examiner any prior art which (1) either alone or in combination with other information, establishes a *prima facie* case of unpatentability, or (2) is inconsistent with any of the applicant's arguments in

OSTROLENK, FABER, GERB & SOFFEN, LLP

Mr. Mark Kesslen, Esq.
February 7, 2000
Page 2



favor of patentability. To be safe, we recommend that you identify to us any information which may relate to the patentability of the invention including all prior art patents or publications cited in corresponding patent applications throughout the world.

An Information Disclosure Statement citing relevant prior art must normally be filed with the United States Patent and Trademark Office within three (3) months of the time that the applicant or the attorney became aware of the information, or an additional Patent Office fee must be paid. Please send any relevant prior art to us as soon as it comes to your attention.

The official filing receipt, containing the official serial number and filing date, will be sent to you in due course.

Very truly yours,

OSTROLENK, FABER, GERB & SOFFEN, LLP

Michael J. Scheer

SIW/MJS:swu
Enclosures

UNITED STATES OF AMERICA
COMBINED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

OFGS FILE NO.
P/2167-158

As a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that I verify believe that I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SYSTEM AND METHOD FOR INTEGRATING TRADING OPERATIONS INCLUDING THE GENERATION, PROCESSING AND TRACKING OF AND TRADE ACCOUNT

the specification of which is attached hereto, unless the following box is checked:

was filed on _____ as United States patent Application Number or PCT International patent application number _____ and was amended on _____ (if any).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information known to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim priority benefits under Title 35, United States Code §119 of any foreign application(s) for patent or inventor's certificate or United States provisional application(s) listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign or Provisional Application(s)

COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. 119
United States	60/113,561	23/12/98	YES <input checked="" type="checkbox"/> NO _____
United States	60/113,643	23/12/98	YES <input checked="" type="checkbox"/> NO _____

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

UNITED STATES APPLICATION NUMBER	DATE OF FILING (day, month, year)	STATUS (patented, pending, abandoned)

I hereby appoint customer no. 2352 OSTROLENK, FABER, GERB & SOFFEN, LLP, and the members of the firm, Samuel H. Weiner - Reg. No. 18,510; Jerome M. Berliner - Reg. No. 18,653; Robert C. Faber - Reg. No. 24,322; Edward A. Meilman - Reg. No. 24,735; Stanley H. Lieberstein - Reg. No. 22,400; Steven I. Weisbord - Reg. No. 27,409; Max Moskowitz - Reg. No. 30,576; Stephen A. Soffen - Reg. No. 31,063; James A. Finder - Reg. No. 30,173; William O. Gray, III - Reg. No. 30,944; Louis C. Dujmich - Reg. No. 30,625 and Douglas A. Miro - Reg. No. 31,643, as attorneys with full power of substitution and revocation to prosecute this application, to transact all business in the Patent & Trademark Office connected therewith and to receive all correspondence.

SEND CORRESPONDENCE TO: **OSTROLENK, FABER, GERB & SOFFEN, LLP** DIRECT TELEPHONE CALLS TO:
1180 AVENUE OF THE AMERICAS (212) 382-0700
NEW YORK, NEW YORK 10036-8403
CUSTOMER NO. 2352

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF SOLE OR FIRST INVENTOR	INVENTOR'S SIGNATURE	DATE
Albert Kwang-Hwa SUN		

RESIDENCE (City and either State or Foreign Country)	COUNTRY OF CITIZENSHIP
Brooklyn, New York	United States

POST OFFICE ADDRESS
322 13 th Street, Brooklyn, New York 11215

FULL NAME OF SECOND JOINT INVENTOR (IF ANY)	INVENTOR'S SIGNATURE	DATE
Tak Ming CHAN		

RESIDENCE (City and either State or Foreign Country)	COUNTRY OF CITIZENSHIP
Kwun Tong, Hong Kong	British

POST OFFICE ADDRESS
SC Block 10, Laguna City, Kwun Tong, Hong Kong

FULL NAME OF THIRD JOINT INVENTOR (IF ANY)	INVENTOR'S SIGNATURE	DATE
Jacqueline LAYER		

RESIDENCE (City and either State or Foreign Country)	COUNTRY OF CITIZENSHIP
Dorset, United Kingdom	United Kingdom

POST OFFICE ADDRESS
Heddle House, Iwerne Minster, Blanford, Dorset United Kingdom

CONTINUED ON PAGE 2

CONTINUED ON PAGE 3

PATENT APPLICATION ASSIGNMENT
SOLE AND JOINT INVENTORS

OFGS FILE NO. P/2167-158

WHEREAS, we, Albert Kwang-Hwa Sun, Tak Ming Chan, Jacqueline Layer, Nicole Gabrielle Rodriguez Toulis, Stephen Pan Cheung, Patrick Shu Pui Ko, Sammy Shun Yuen Fung, Thomas William Halpin, Yoke Bee Yap, Zeno Fook Chuen Chow, Kelvin Yatsun Leung, Kwok Keung Yeung, Man Pui Tsim and Sol Solomon as assignors, have invented certain improvements in SYSTEM AND METHOD FOR INTEGRATING TRADING OPERATIONS INCLUDING THE GENERATION, PROCESSING AND TRACKING OF AND TRADE DOCUMENTS for which an application for United States Letters Patent has been executed by me of even date herewith; and

WHEREAS, The Chase Manhattan Bank, a corporation organized and existing under the laws of the State of Delaware and having a principal place of business at 270 Park Avenue, 41st Floor, New York, New York 10036, as assignee, is desirous of acquiring all right, title and interest in and to said invention and any Patent that may be granted therefor.

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, I, as assignor, hereby sell, assign and set over to said assignee the entire right, title and interest for the United States and all other countries in and to said invention and the aforesaid application for Patent, all original, divisional, continuation, substitute or reissue applications and patents applied for or granted therefor in the United States and all other countries, including all rights of priority from the filing of said application, and all rights for past infringement, and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said inventions or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for myself and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all divisional, continuation, reissue and other applications for Patent on said inventions and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said inventions, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to assist assignee, its successors, assigns and nominees to obtain patent protection for said invention in the United States and all other countries, the expenses incident to said applications to be borne and paid by said assignee.

Date:

Albert Kwang-Hwa SUN

This ____ day of _____, 20____, before me personally came the above-named _____, to me personally known as the individual who executed the foregoing instrument, who acknowledged to me that the same was executed by him of his own free will for the purposes therein set forth.

Notary Public - Seal

☒ Continued on page 2

Date: _____



Tak Ming CHAN

STATE OF _____)
) ss.:
COUNTY OF _____)

This ____ day of _____, 20____, before me personally came the above-named _____, to me personally known as the individual who executed the foregoing instrument, who acknowledged to me that the same was executed by him of his own free will for the purposes therein set forth.

Notary Public - Seal

Date: _____

Jacqueline LAYER

STATE OF _____)
) ss.:
COUNTY OF _____)

This ____ day of _____, 20____, before me personally came the above-named _____, to me personally known as the individual who executed the foregoing instrument, who acknowledged to me that the same was executed by him of his own free will for the purposes therein set forth.

Notary Public - Seal

Date: _____

Nicole Gabrielle Rodriguez TOULIS

STATE OF _____)
) ss.:
COUNTY OF _____)

This ____ day of _____, 20____, before me personally came the above-named _____, to me personally known as the individual who executed the foregoing instrument, who acknowledged to me that the same was executed by him of his own free will for the purposes therein set forth.

Notary Public - Seal

Date: _____ Stephen Pan CHEUNG

STATE OF _____)
) ss.:
COUNTY OF _____)

This ____ day of _____, 20____, before me personally came the
above-named _____, to me personally known as the individual who executed the
foregoing instrument, who acknowledged to me that the same was executed by him of his own
free will for the purposes therein set forth.

Notary Public - Seal

Date: _____ Patrick Shu Pui KO

STATE OF _____)
) ss.:
COUNTY OF _____)

This ____ day of _____, 20____, before me personally came the
above-named _____, to me personally known as the individual who executed the
foregoing instrument, who acknowledged to me that the same was executed by him of his own
free will for the purposes therein set forth.

Notary Public - Seal

Date: _____ Sammy Shun Yuen FUNG

STATE OF _____)
) ss.:
COUNTY OF _____)

This ____ day of _____, 20____, before me personally came the
above-named _____, to me personally known as the individual who executed the
foregoing instrument, who acknowledged to me that the same was executed by him of his own
free will for the purposes therein set forth.

Notary Public - Seal

Date: _____

Thomas William HALPIN

STATE OF _____)
) ss.:
COUNTY OF _____)

This ____ day of _____, 20____, before me personally came the
above-named _____, to me personally known as the individual who executed the
foregoing instrument, who acknowledged to me that the same was executed by him of his own
free will for the purposes therein set forth.

Notary Public - Seal

Date: _____

Yoke Bee YAP

STATE OF _____)
) ss.:
COUNTY OF _____)

This ____ day of _____, 20____, before me personally came the
above-named _____, to me personally known as the individual who executed the
foregoing instrument, who acknowledged to me that the same was executed by him of his own
free will for the purposes therein set forth.

Notary Public - Seal

Date: _____

Zeno Fook Chuen CHOW

STATE OF _____)
) ss.:
COUNTY OF _____)

This ____ day of _____, 20____, before me personally came the
above-named _____, to me personally known as the individual who executed the
foregoing instrument, who acknowledged to me that the same was executed by him of his own
free will for the purposes therein set forth.

Notary Public - Seal

☒ Continued on Page 5

Date: _____

Kelvin Yatsun LEUNG

STATE OF _____)
) ss.:
COUNTY OF _____)

This ____ day of _____, 20____, before me personally came the
above-named _____, to me personally known as the individual who executed the
foregoing instrument, who acknowledged to me that the same was executed by him of his own
free will for the purposes therein set forth.

Notary Public - Seal

Date: _____

Kwok Keung YEUNG

STATE OF _____)
) ss.:
COUNTY OF _____)

This ____ day of _____, 20____, before me personally came the
above-named _____, to me personally known as the individual who executed the
foregoing instrument, who acknowledged to me that the same was executed by him of his own
free will for the purposes therein set forth.

Notary Public - Seal

Date: _____

Man Pui TSIM

STATE OF _____)
) ss.:
COUNTY OF _____)

This ____ day of _____, 20____, before me personally came the
above-named _____, to me personally known as the individual who executed the
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free will for the purposes therein set forth.

Notary Public - Seal

Date: _____

Sol SOLOMON

STATE OF)

) ss.:

COUNTY OF)

This ____ day of _____, 20____, before me personally came the
above-named _____, to me personally known as the individual who executed the
foregoing instrument, who acknowledged to me that the same was executed by him of his own
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